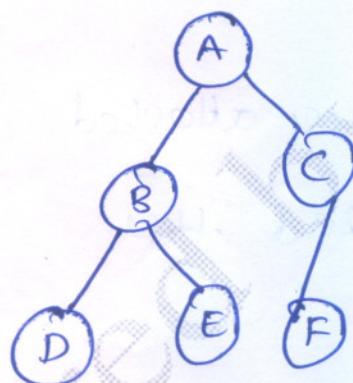


## Basic Tree Concepts :

Def for tree :- A tree is a non linear data structure that can be represented in a hierarchical manner. It contains a finite set of elements, called 'nodes' which are connected to each other using a finite set of directed lines called 'branches'.

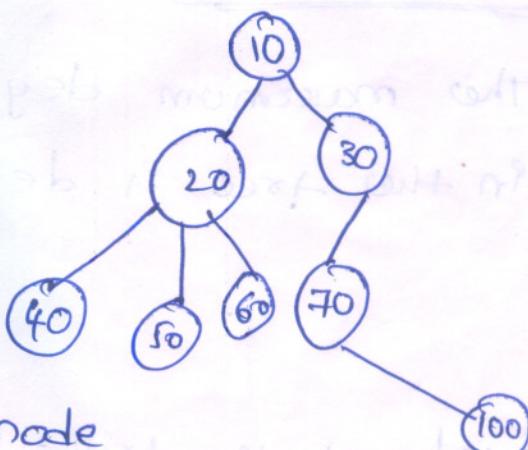


Root :- Root is a unique node

in the tree to which further subtrees are attached

From the figure given

tree, node '10' is a root node



## Parent node :-

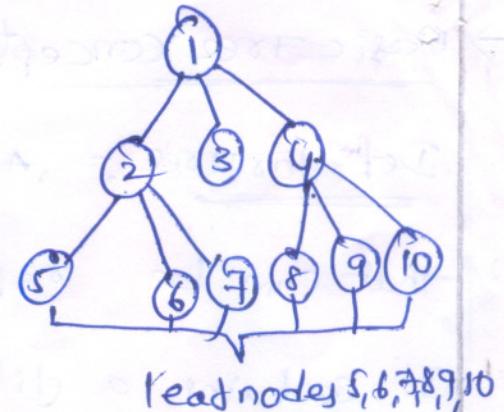
The node having sub-branches is called parent node.

From the figure 20 is parent node of 40, 50, 60



leaf nodes :

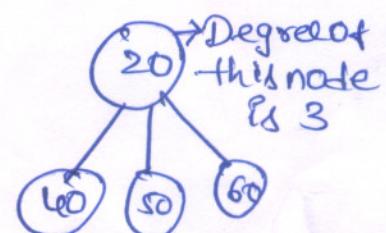
The nodes at the lowest level  
are known as the leaf nodes



child node : The root node is a special node with no parent node and leaf nodes have no child nodes.

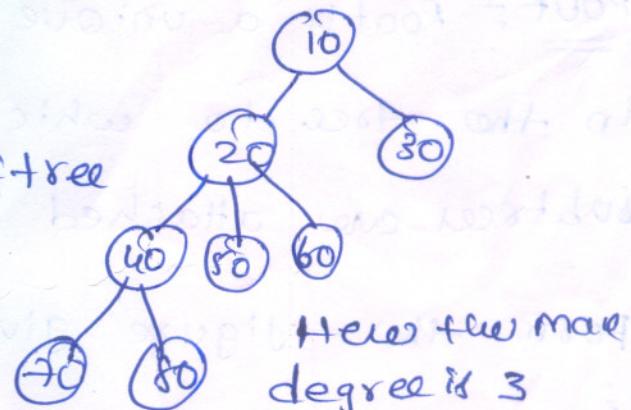
Degree of the node :

The total no. of sub-trees attached to that node is called the degree of a node



Degree of tree :-

The maximum degree in the tree is degree of tree



level of the tree :-

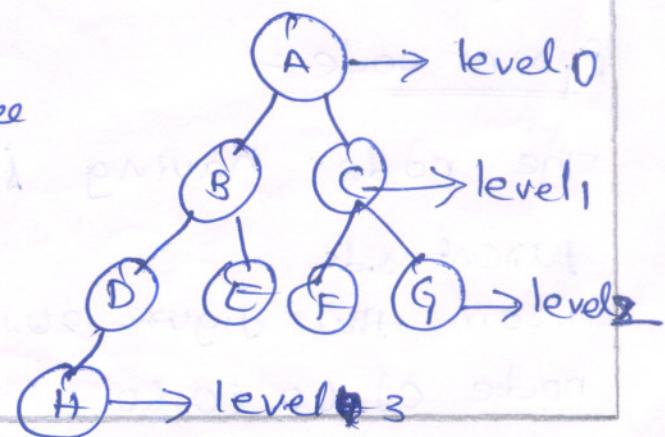
If is defined for binary tree

level 0 :- A

level 1 :- B, C

level 2 :- D E F G

level 3 :- H

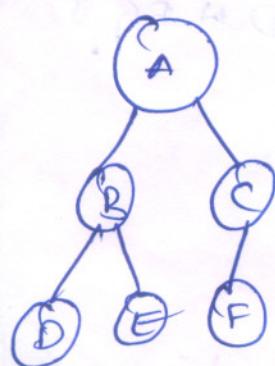


height of the tree (or) depth of tree

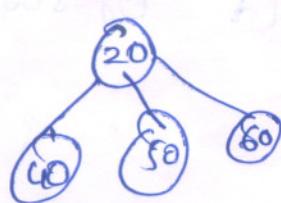
The maximum level is the height of the tree

The height of tree is 3

For sometimes height of the tree  
is also known as depth of the tree



succesor: It is a node which occurs next to some node



we read node 60 after reading node 20 then 60 is called successor of 20

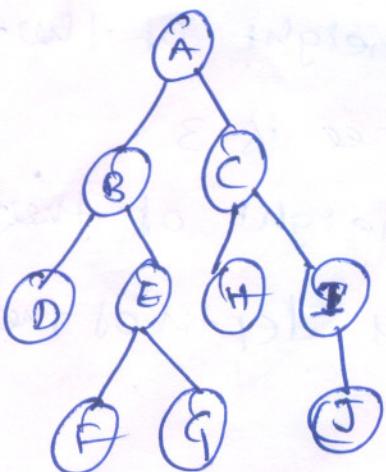
predecessor: Some particular node occurs previous to some other node then that node is called predecessor of the other node

In above figure we read 20 first and then we read node 40 then 20 is predecessor of 40.

Internal and External node:-

leaf nodes are not having further links then those leaf nodes are external nodes

and nonleaf nodes are called internal nodes



Internal nodes are A B C E I

External nodes are D F G J

Siblings (B & C brothers)

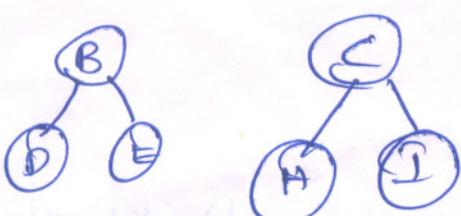
2 nodes are said to be siblings if they are ~~left~~ left and right child of same parent

From the above figure B C E I are siblings

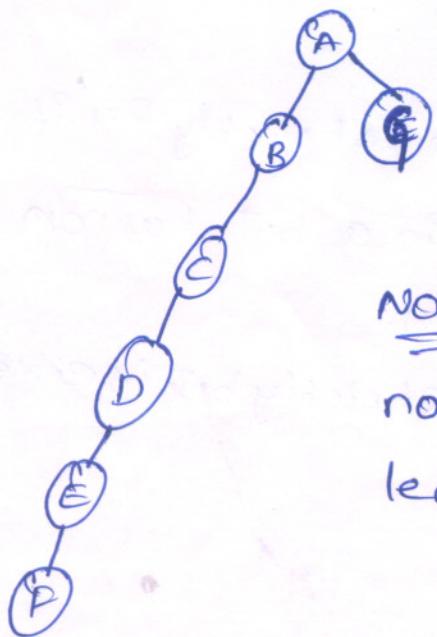
Forest :-

Forest is a collection of disjoint trees  
that means if we remove its root then we get a forest

From the above figure

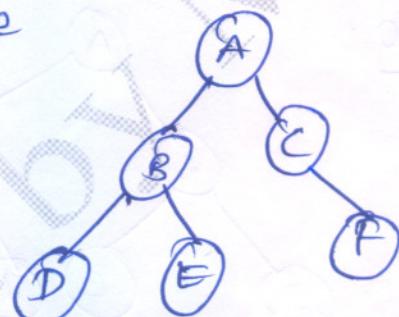


Ancestor: It is a node which contains the subbranches of longer depth or height



Here A is an ancestor of node D or E or F

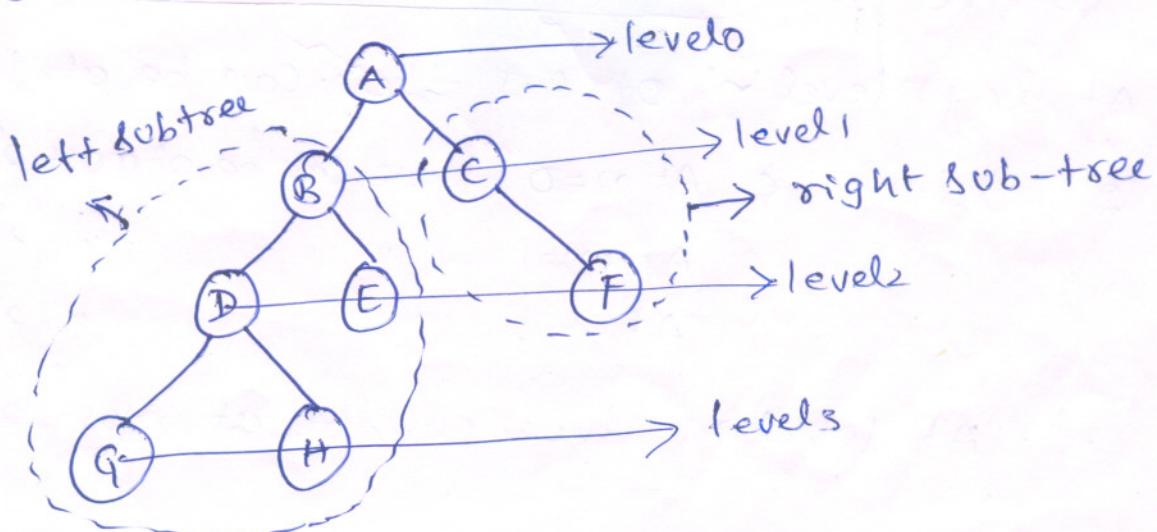
Non terminals : These are the nodes other than root node and leaf node



B, C are non terminals

Binary Trees:

Def of B.T : A binary tree is a finite set of nodes which is either empty or consists of a root and two disjoint binary trees called the left sub-tree and right sub-tree.

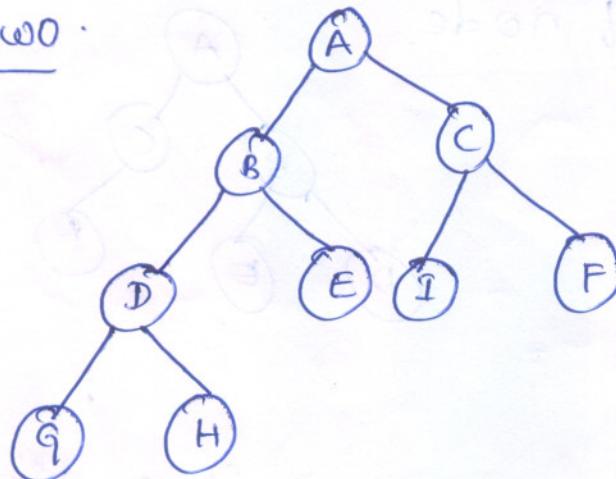


6

Forms of B.T :- There are various forms of B.T that are formed by imposing certain restrictions on them. Some variations include Strictly B.T, Complete B.T, Extended B.T.

Strictly B.T :- A B.T is said to be Strictly B.T if every internal node (non-leaf node) in a B.T has non-empty left and right sub-trees.

\* the degree of each node in a strictly B.T is either zero or two.



Complete B.T :-

\* A B.T is said to be a complete B.T if all the leaf nodes of the tree are at same level.

\* The tree has maximum no. of nodes at all the levels.

At any level 'n' of B.T there can be at the most  $2^n$  nodes. i.e. At  $n=0$  there can be at most  $2^0 = 1$  node

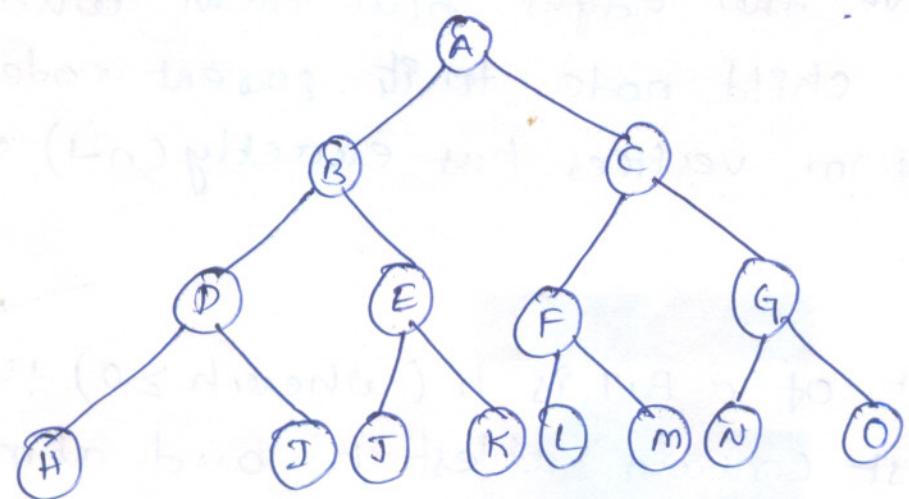
$$\text{At } n=1 \quad u$$

$$2^1 = 2 \text{ nodes}$$

$$\text{At } n=2 \quad u$$

$$2^2 = 4 \text{ nodes}$$

$\therefore$  At level  $n$ , there can be at most  $2^n$  nodes.

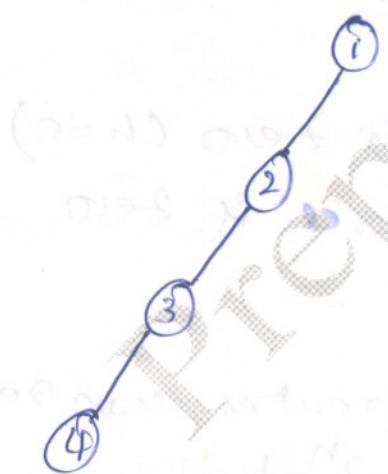


Complete B.T

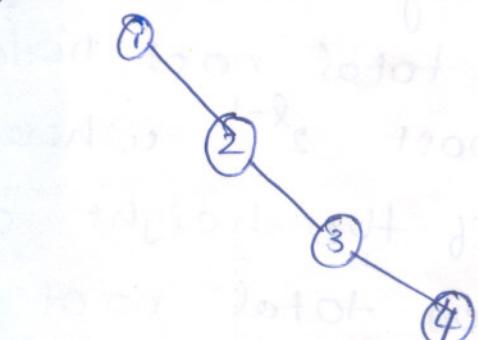
Skewed B.T: A B.T which contains only left child nodes or only the right child nodes is called a Skewed B.T

Example :-

left skewed B.T



right skewed B.T



Properties of B.T :-

Property 1 :- A B.T with 'n' vertices must contain exactly  $(n-1)$  edges

Proof :- In a B.T, every node except the root node contains a single parent node also the nodes

of the B.T have the edges b/w them which connects every child node to its parent node.  
A binary tree of 'n' vertices has exactly  $(n-1)$  edges

### Property 2 :-

If the height of a B.T is  $h$  (where  $h \geq 0$ ) then the tree must contain at least ' $h$ ' and at most  $2^h - 1$  nodes.

#### Proof :-

\* Every level of the B.T contains one or more elements the total no of elements in a tree is at least ' $h$ '

\* Every node of the B.T containing atmost 2 children the total no of nodes in a tree at level ' $l$ ' is atmost  $2^{l-1}$  where  $l > 0$

\* If the height of the tree is zero ( $h=0$ ) then total no of nodes in a tree is zero

$$2^l - 1 = 2^0 - 1 = 1 - 1 = 0$$

\* If the height of a tree is greater than zero then total no of nodes is atmost  $2^h - 1$

\* It cannot exceed the value  $\sum_{l=1}^h 2^{l-1} = 2^h - 1$

### Property 3 :-

The height of a B.T with 'n' vertices is atmost ' $n$ ' and atleast  $\lceil \log_2(n+1) \rceil$

proof :-

- \* Every level of the B.T contains at least one element
- \* The height of the B.T is at most ' $n$ '
- \* It cannot exceed the value ' $n$ '
- \* From property 2 it is clearly stated that B.T of height ' $h$ ' has atmost  $2^h - 1$  nodes

$$\therefore n \leq 2^h - 1$$

$$\Rightarrow n+1 \leq 2^{h+1}$$

Apply 'log' on both sides

$$\log(n+1) \leq \log 2^{h+1}$$

$$\log(n+1) \leq (h+1)\log 2$$

$$\frac{\log(n+1)}{\log 2} \leq h+1$$

$$\therefore \log_2(n+1) \leq h$$

$$h \geq \log_2(n+1)$$

$$h \geq \lceil \log_2(n+1) \rceil \quad (\because h \text{ is an integer})$$

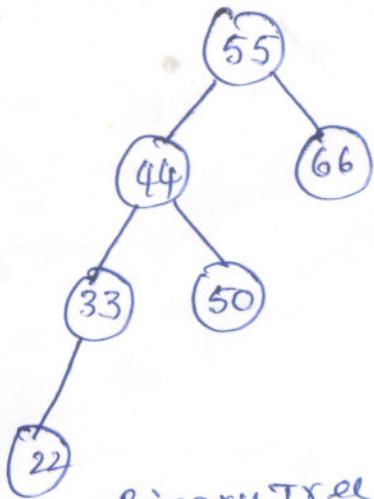
### Representation B.T

There are 2 types of representation for B.T using 1. Array (Linear or Sequential representation)  
2. linked list

## Array Representation of Binary Tree

This representation uses only a single linear array tree as follows:

- i, The root of the tree is stored in  $\text{tree}[0]$
- ii, If a node occupies  $\text{tree}[i]$  then its left child is stored in  $\text{tree}[2*i+1]$  if right child is stored in  $\text{tree}[2*i+2]$  and the parent is stored in  $\text{tree}[(i-1)/2]$



55	44	66	33	50			22
0	1	2	3	4	5	6	7

Array representation of B.T

## Binary Tree

### linked list Representation of B.T

A node is divided into 4 fields

info, left, right, root

info: which is used to store the data item

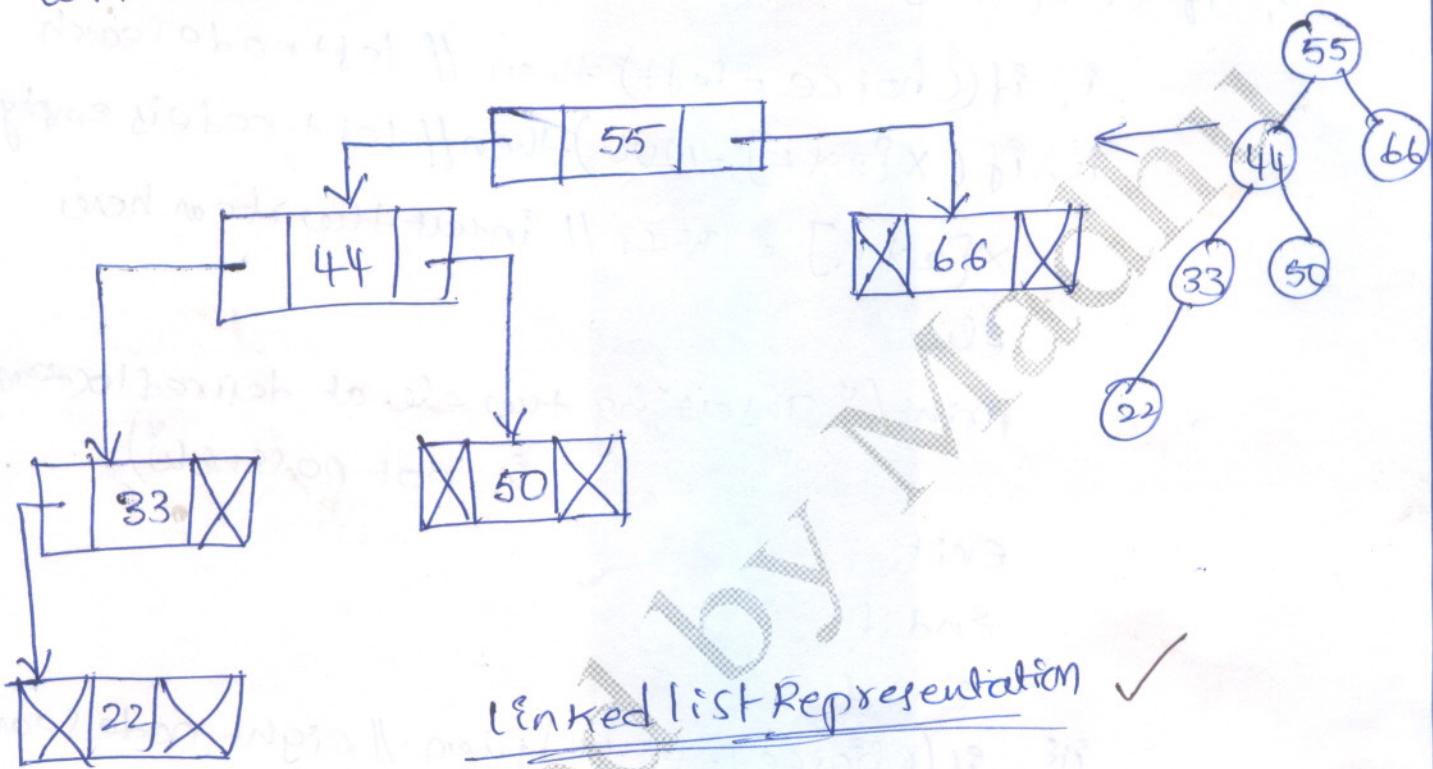
left: left pointer field which is used to store the address of the left child.

right: right pointer field which is used to store the address of the right child.

root: which will contain the location of the

root node. If any subtree is empty then the corresponding pointer will contain the NULL value

\* If the tree of self is empty then the root will contain the NULL value.



### Operations on Binary Tree:

4 basic operations supported by a binary tree are listed below

1. Insertion
2. Deletion
3. Traversal
4. merge.

1. Insertion: This operation inserts a new node at any position in the B.T.

\* If the node is to be inserted internally i.e inside the B.T it is called internal node

Algorithm for insertion operation in a sequential storage

Step 1.  $k = \text{Search}(l, \text{key})$  // Search key node in the tree

2, If ( $k=0$ ) then

print "Insertion failed"

endif

3, If ( $(x[2*k] = \text{NULL}) \text{ or } (x[2*k+1] = \text{NULL})$ )

{, if (choice = left) then // left node search  
 if, if ( $x[2*k] = \text{NULL}$ ) then // left node is empty

$x[2*k] = \text{ITEM}$  // insert the item here

else

print ("Inserting two ele. at desired location  
 is not possible"),

exit

endif

endif

else, if (choice = right) then // right node search

if ( $x[2*k+1] = \text{NULL}$ ) then // right node is empty

$x[2*k+1] = \text{ITEM}$  // insert the item after  
 right node

else

print ("Desired operation can't be performed")

exit

endif

4, Else

print "Insertion of item is not performed"

endif

5, Exit

## Search Function for Sequential Representation:

New Index = Index of the node from where search has to be started

Step 1:  $j = \text{INDEX}$ ; // Set point of search i.e. at root

Step 2: If ( $X[i] \neq \text{key}$ ) then // If node is not equal to required key

i) If ( $2 * j \leq s$ ) then // left subtree is searched

    Search ( $2 * j$ , key)

ii) Else

iii) If ( $2 * j + 1 \leq s$ ) then // Right subtree is searched

    a) Search ( $2 * j + 1$ , key);

    b) Else

    c) return (0) // unsuccessful search

    d) Endif

    e) Endif

Step 3: Else

    Return ( $i$ ); // Return  $i$ , i.e. address of the key

    Endif

## Representation Search Function for linked representation

Step 1:  $\text{ptr} = \text{ptr1}$  //  $\text{ptr1}$  is the node where search has to be started

Step 2: If ( $\text{ptr} \rightarrow \text{item} \neq \text{key}$ ) // current node is not required

    If ( $\text{ptr} \rightarrow \text{leftchild} \neq \text{NULL}$ )

        Search ( $\text{ptr} \rightarrow \text{leftchild}$ ) // Search left subtree

    Else

        return (0)

    Endif

```

if (ptr->rightchild == NULL)
    search (ptr->rightchild) // search Right sub-tree
else
    return (0)
endif

```

Step3: else

```
    return (ptr) // pointer contains the address of key
```

Step4: endif

### Insertion Function Algorithm:

Step1: ptr=Search (root, key) // call the search fun

Step2: if (ptr == NULL) then // if ptr is NULL

```
    print "unsuccessful search"
```

exit

endif

Step3: if (ptr->leftchild == NULL) // (ptr->rightchild=NULL)

ask user whether left element or right element is being entered

if (choice = left child) then

Step4: if (ptr->leftchild == NULL) then // leftchild NULL

```
    new->Getnode (node) // create a new node
```

```
    new->item; // new node's item is assigned to item
```

```
    new->leftchild = NULL;
```

```
    new->rightchild = NULL;
```

ptr->leftchild = new // current node is linked with new node towards left

Get IT Q1

## Binary Tree Traversals :-

- \* The process of going through a tree is such a way that each node is visited once and only once is called tree traversal.
- \* The traversal in a B.T involves 3 kinds
  1. Visiting the root
  2. Traverse the left subtree
  3. Traverse the right subtree.
- \* The only difference among the methods is the order in which these 3 operations are performed.
- \* There are 3 standard ways of traversing
  1. In-order
  2. Pre-order
  3. Post-order.

### 1. In-order :- (L, Root, R)

Basic steps for Inorder

1. Traverse the left subtree in inorder
2. Visit the root
3. Traverse the right subtree in inorder

### Recursive algorithm for Inorder :-

preorder(node)

1. If node ≠ NULL

2. process(node)

3. preorder(left[node])

4. preorder(right[node])

[End of step 1 If structure]

5. Exit

else

print "Insertion is not performed";

exit

endif

else

steps: if (ptr->rightchild==NULL) || (rightchild==NULL)

new->GetNode (Node) || create a new node

new->item=ITEM || new data is assigned with  
the required value

new->leftchild=NULL

new->rightchild=NULL

ptr->rightchild=new || current node is linked  
with its right child to new node

else

print "Insertion of rightchild is not done";

endif

else

print "Key node has a child"

endif

endif

exit

## Deletion:

Step1: set flag as FALSE || start at root node

Step2: k=Search (1, key) || searching from index i=root

Step3: if (k==0) goto Step7

Step4: if ((x[2\*k]==NULL) && (x[2\*k+1]==NULL))  
// testing left node

set flag TRUE // delete the item

$X[k] = \text{NULL}$ ; // make it to NULL

Step 5: else

print "item specified is not a leaf node"

Step 6: endif

Step 7: if (flag == FALSE) // if flag = FALSE no such node exist

print "Deletion failed"

Step 8: endif

Step 9: STOP

## Recursive Algorithm for In-order:

InOrder(node)

1. If node ≠ NULL

2. InOrder(left[node])

3. process(node)

4. InOrder(right[node])

[End of step 1 if structure]

5. EXIT

## Non-Recursive algorithm for In-order:

Inorder Trav(root)

1. Set top = 0, stack[0] = NULL, node = root

[Initially push NULL on to stack and initialize node]

2. Repeat while node ≠ NULL

[pushes left most path on to stack]

Set top = top + 1, stack[top] = node

Set node = left[node]

[End of step 2 loop]

3. Set node = stack[top], top = top - 1

[POPS node from stack]

4. Repeat steps 5 to 7 while node ≠ NULL

5. process (info [node])

[process the node]

6. If right[node] ≠ NULL

(right child exist)

$\text{set node} = \text{right}[\text{node}]$ , Go to Step 2

[End of Step 6 if structure]

7.  $\text{Set node} = \text{stack}[\text{top}]$ ,  $\text{top} = \text{top} - 1$

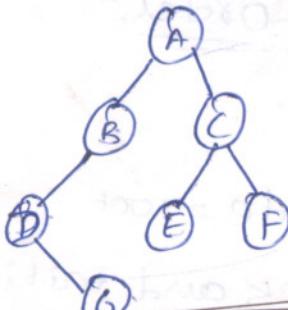
[Pops node from stack]

[End of Step 4 loop]

8. Exit

Example : consider the binary tree by using ~~x~~ following

tree



Ans: GDBEFCA

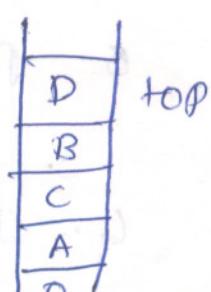
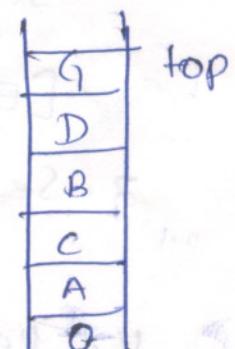
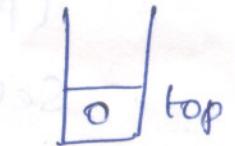
Steps	Node processed	Stack
1) Initially push null onto stack		
Set node = A	A	
		D
		B
		C
		G
		H

1) Initially push null onto stack

Set node = A

2) By taking node = A proceed to left most path on the way? If right child is obtained push -ve of that on to stack

3) pop and process node if -ve node is popped make it +ve and repeat Step 1 if +ve and repeat Step 1 if -ve node is popped so node = -6 loop reset node = G



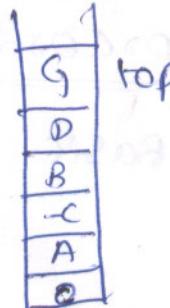
nodeprocessed

Steps

Stack

P7

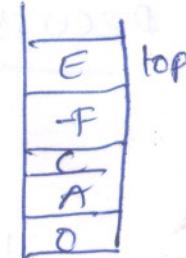
| 4) By taking node = G proceed to  
| leftmost path, push G on to stack



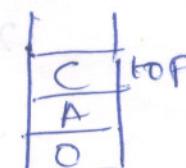
| 5) pop and process G, D and B, -C  
| is popped so node = -C now  
| reset node = C



| 6) By taking node = C proceed to  
| leftmost path if right child is  
| obtained push -ve of that  
| on to stack push C-F and E

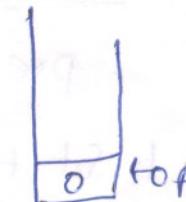


| 7) pop and process E, -F is popped  
| so, node = -F now reset node = F



| 8) By taking node = F, proceed  
| to left most path push  
| F on to stack

| 9) pop and process F, and



| A

| 10) The next element NULL is

| popped since node = NULL  
| algorithm is completed

Stack  
empty

O/P: GDBEFC

preorder traversal = (R, L, R) (Root, Left, right)

(18)

Basic Step for preorder

1. visit root
2. Traverse the left subtree in preorder
3. Traverse the right subtree in preorder

Algorithm

Recursive algorithm for preorder

preorder (node)

1. If node ≠ NULL
2. process (node)
3. preorder (left [node])
4. preorder (right [node])

[End of Step 1 If structure]

5. Exit

Non-Recursive algorithm for preorder

preorder trav (root)

1. Set top = 0, stack[0] = NULL, node = root

[Initially push NULL on to stack and initialize node]

2. Repeat steps 3 to 5 while  $\neq$  node  $\neq$  NULL

3. process (info [node])

[process the node]

4 If right[node] ≠ NULL

    Set top = top + 1, stack[top] = right[node]

    [push the right child into the stack]

    [End of Step 4 If structure]

5. If left[node] ≠ NULL

    Set node = left[node]

else

    Set node = stack[top], top = top - 1

    [ pops node from stack ]

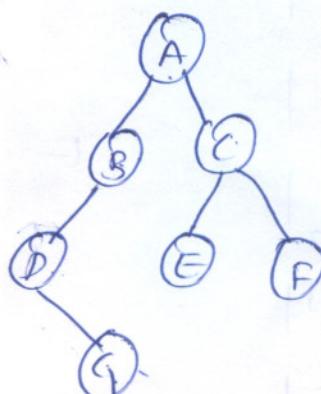
    [End of Step 5 If structure]

    [End of Step 2 loop]

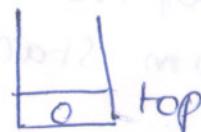
6. Exit

Example :- consider the B.T as shown in below  
and simulate the algorithm by using pre-order

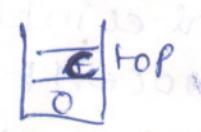
Ans: A B D G C E F



1) Initially push NULL onto stack. Set node=A



2) i) process A and push its right child on to stack



ii) process B (no right child)

iii) process D and push its

right child on to stack no.

Other node is processed

Since D has no left child

3) pop the top element

from stack and

Set node = G

4) Since node ≠ NULL

Enter ~~loop~~ into the loop

process G

5) pop the top element

from stack and

Set node = C

6) Since node ≠ NULL

Enter into the loop

i) process C and push its

right child on to stack

ii) process E node is node

is processed since E has

no left child

7) pop the top element

from stack and set node = F

8) since node ≠ NULL

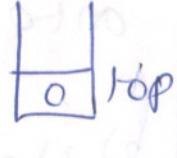
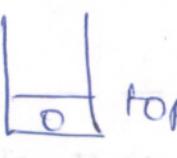
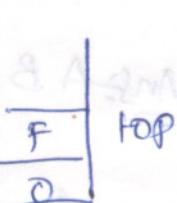
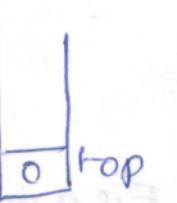
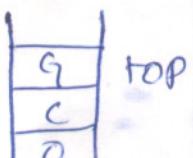
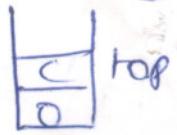
Enter into the loop

process F

9) pop the top element from

stack & set node = NULL

10) since node = NULL the algorithm is completed.



Stack empty

postorder traversal = (L, R, Root)

Basic steps for postorder:

1. Traverse the left subtree in postorder
2. Traverse the right subtree in postorder
3. Visit the root

Recursive algorithm for postorder:

postorder(node)

1. If node ≠ NULL
  2. postorder (left[node])
  3. postorder (right[node])
  4. process (node)
- [End of step 1 if structure]
5. Exit

Non-recursive Algorithm for postorder:

postorderTrav(root)

1. Set top = 0, stack [0] = NULL, node = root  
[Initially push NULL onto stack & initialize node]
2. Repeat steps 3 to 5 while node ≠ NULL  
[Pushes leftmost path onto stack]
3. Set top = top + 1, stack [top] = node  
[Pushes node onto stack]
4. If right[node] ≠ NULL  
[right child exists]

Set top = top + 1, stack[top] = -(right[node]),  
 [pushes the -ve pointer]

[End of If structure]

5. Set node = left[node]

[End of step 2 loop]

6. Set node = stack[top], top = top - 1

[Pops node from stack]

7. Repeat while node > 0

[while non -ve pointer]

process(info[node])

Set node = stack[top], top = top - 1

[End of loop]

8. If node < 0

[test for -ve pointer]

Set node = -node

[change to few original value of node]

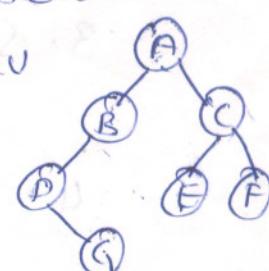
Go to step 2

[End of If structure]

9. Exit

example : Consider the B.T by using below tree  
 simulate the algorithm Inorder Trav

Ans : D G B A E C F



## Steps

Node processed

Stack

1) Initially push NULL on to stack Set node = A

2) By taking node = A proceed to left most path on tree way if right child is obtained push -ve node

3) POP & process the node if -ve node is popped make it true & repeat till  
Step  
node = -G now reset node = G

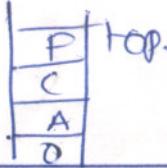
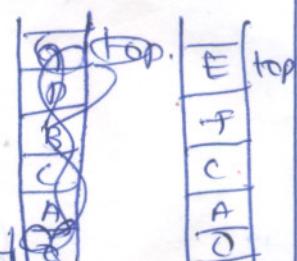
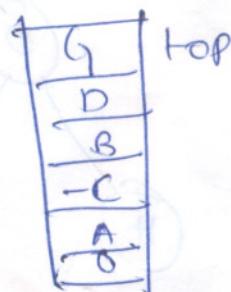
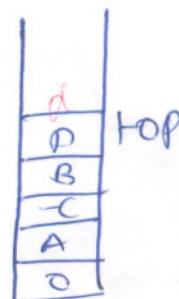
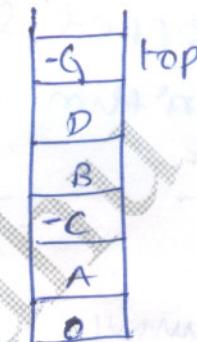
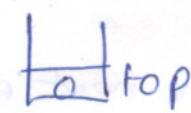
4) By taking node = G proceed to left most path push G onto stack

5) POP & process G, D, B, -C  
is popped node = -G now  
reset node = C

6) By taking node = C proceed to left most path its right child is obtained  
push C - P and E

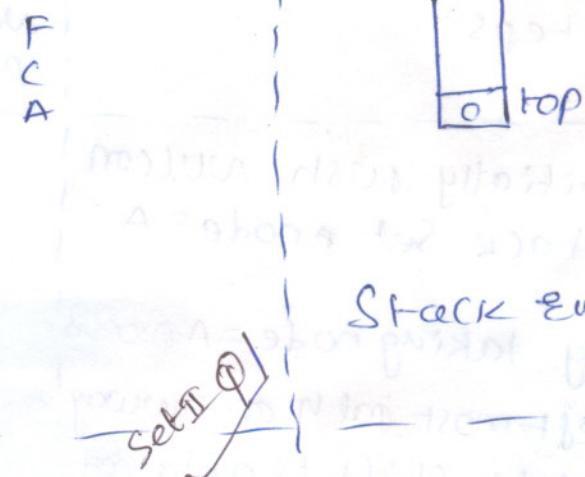
7) POP & process E, -F is popped so, node = -F now (reset node = F)

8) By taking node = F proceed to left most path push F onto stack

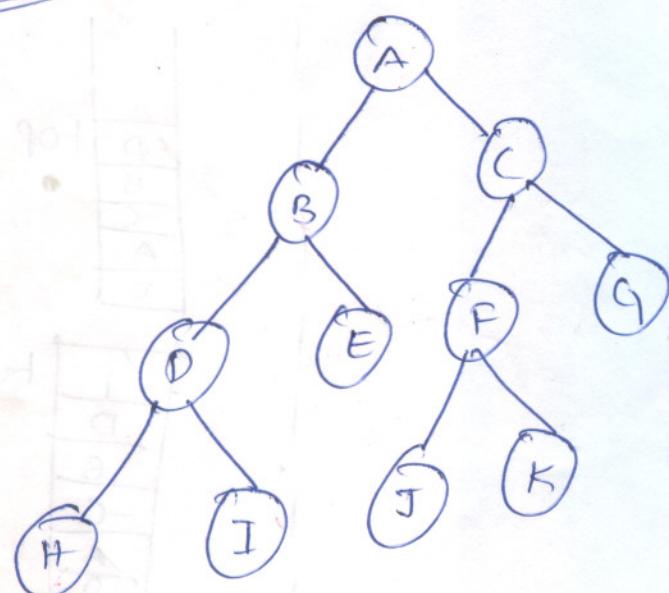


a) pop & process F, C & A

b) The next element NULL  
is popped since node=NULL  
algorithm is completed



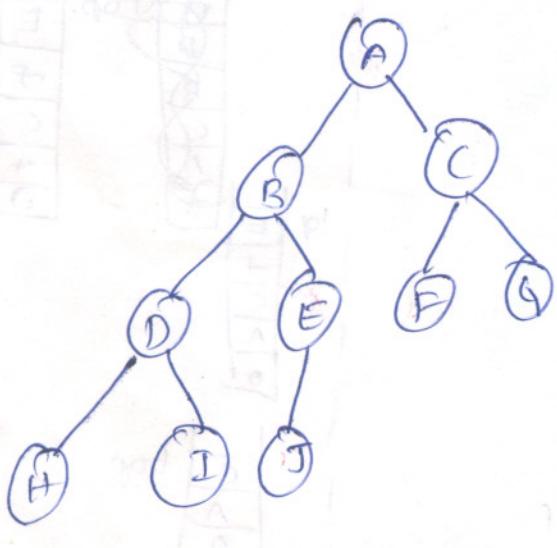
Example



preorder = ABDAEFCJKG

Inorder = HDIBEAJFGCK

postorder = HIDEBJKFGCA



preorder = ABADHIEJCFKG

Inorder = HDIBJEAFCG

postorder = HIDJEBFGCA